ESTTA Tracking number:

ESTTA614007 07/07/2014

Filing date:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Petition for Cancellation

Notice is hereby given that the following party requests to cancel indicated registration.

Petitioner Information

Name	SmartFocus UK Ltd.		
Entity	Corporation	Citizenship	United Kingdom
Address	Lynton House 7-12 Tavistock Square London, WC1H 9LT UNITED KINGDOM		

Attorney information	David M. Kelly Kelly IP, LLP
	1330 Connecticut Avenue, N.W. Suite 300 Washington, DC 20036
	UNITED STATES david.kelly@kelly-ip.com, docketing@kelly-ip.com, larry.white@kelly-ip.com

Registration Subject to Cancellation

Regis	stration No	2089097	Registration date	08/19/1997
Regis	strant	AFFINITAS CORPORATION 1015 NORTH 98TH STREET OMAHA, NE 68114 UNITED STATES		

Goods/Services Subject to Cancellation

Class 035. First Use: 1996/02/28 First Use In Commerce: 1996/02/28

All goods and services in the class are cancelled, namely: broad based market segmentation analysis services allowing marketers to understand their markets in more detail

Grounds for Cancellation

Abandonment	Trademark Act section 14

Attachments	FINAL Petition for Cancellation against SMARTFOCUS (U S Reg No 2089097)	
	(330799xD1162).pdf(310460 bytes)	

Certificate of Service

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

Signature	/David M. Kelly/
Name	David M. Kelly

Date 07/07/2014	
Date 07/07/2014	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

SMARTFOCUS UK LTD.,

Petitioner

٧.

AFFINITAS CORPORATION,

Respondent.

Cancellation No.:

Mark: SMARTFOCUS Reg. No. 2089097

Issued: August 19, 1997

PETITION FOR CANCELLATION

SmartFocus UK Ltd. ("Petitioner") believes that it is being damaged by the registration of Affinitas Corporation's ("Respondent") mark SMARTFOCUS shown in U.S. Registration No. 2089097 ("Respondent's Registration") and petitions to cancel the same. As grounds for its cancellation, Petitioner alleges that, upon actual knowledge with respect to itself and its own acts, and upon information and belief as to other matters:

Petitioner and its SMARTFOCUS Mark

- Petitioner is a United Kingdom corporation with its principal place of business at Lynton House 7-12 Tavistock Square, London, United Kingdom WC1H 9LT.
 - 2. Petitioner is a cloud marketing platform provider.
- 3. Petitioner owns U.S. Application No. 85897968, filed on April 8, 2013 under Sections 1(b) and 44(d), for the mark SMARTFOCUS for "computer software for use in creating, distributing, personalizing and managing electronic communications relating to marketing campaigns and analyzing the results of same; downloadable software in the nature of a computer program and /or mobile application for creating,

distributing, personalizing and managing marketing and social media campaigns and to help analyze the results of same" in International Class 9, "data processing management; customer relationship marketing intelligence management; social media marketing consultancy; research into consumer buying habits, patterns and trends; research into consumer buying motivation; media planning being media marketing and advertising strategy; marketing and advertising media analysis services; market research; advertising by mail order; compilation of direct mailing lists; compilation of mailing lists; direct mail advertising; marketing by mail; preparation of mailing lists; preparation of mailing lists for direct mail advertising services; direct marketing, database marketing; telemarketing; business statistical analysis and reporting; processing and analysis of business statistics; database management; business information services; business consulting services in the field of electronic commerce; advertising and marketing management; agency services, namely, the creation, development and dissemination of advertising and promotional materials via direct mail, newspaper, radio, television, mobile electronic devices, a global computer network and other interactive media; consulting services, namely, interception and market analysis of advertising enquiries for the businesses of others; marketing consulting; consulting and computerised database management services for the businesses of others, namely, interpretation of market data analysis and management of advertising response data; analysis of data responses and market conditions to identify customers and define prospects; preparing personalised needs analysis, metrics, reports and recommendations for advertising, marketing and retail; customer relationship management services featuring prospect and customer qualification, communication, cultivation, retention and management; business research services and information

services relating to all the aforesaid" in International Class 35, "communication by computers; electronic transmission of data, messages and images via computer terminals, electronic tablets, mobile phones; broadcast communication transmission of messages using digital interactive services; streaming of digital content via the Internet" in International Class 38, "education services, namely, online and in-person seminars, conferences, and training in the field of marketing; publishing services; provision of online non-downloadable electronic publications in the field of marketing" in International Class 41, and "design research in the field of graphic arts; graphic design illustrating services; industrial and commercial art design services; design, drawing and commissioned writing, all for the compilation of web pages on the Internet; computer services, namely, designing and implementing network web sites, software applications and information technology computer network systems for others; website design services; creation, editing and updating of website content; software development and maintenance of computer programs and mobile applications to track consumer usage and preferences on websites; design of publicity and advertising materials; computer services, namely, designing and implementing banner advertisements on internet sites for others; graphic design services" in International Class 42 ("Application"). TESS and TSDR Printouts from the PTO is attached as Exhibit A.

- 4. On August 2, 2013, the U.S. Patent and Trademark Office ("PTO") issued an Office Action and refused to register Petitioner's SMARTFOCUS mark based on a likelihood of confusion with Respondent's Registration, among others.
- 5. On February 3, 2014, Petitioner filed a Response to Office Action amending the description of services under the Application to further clarify its offerings and avoid a likelihood of confusion with Respondent's Registration.

6. On March 3, 2014, the PTO suspended the Application and maintained its likelihood of confusion refusal with respect to Respondent's Registration.

Respondent and its SMARTFOCUS Mark

- 7. Respondent is a Nebraska corporation with its principal place of business at 2949 North 27th Street, Suite 101, Lincoln, Nebraska 68521-1430.
- 8. Respondent is the listed owner for U.S. Registration No. 2089097, issued on August 19, 1997, for the mark SMARTFOCUS for "broad based market segmentation analysis services allowing marketers to understand their markets in more detail" in International Class 35, alleging a date of first use in commerce of February 28, 1996.

Abandonment Under Section 45, 15 U.S.C. § 1127

- Petitioner repeats and realleges each and every allegation set forth in
 Paragraphs 1 through 8.
- 10. Upon information and belief, Respondent has abandoned the SMARTFOCUS mark shown in U.S. Registration No. 2089097 based on non-use in commerce for at least three consecutive years in connection with "broad based market segmentation analysis services allowing marketers to understand their markets in more detail," and with an intent not to commence or resume use of the mark in connection with such services.
- 11. Accordingly, U.S. Registration No. 2089097 for the SMARTFOCUS mark should be cancelled in its entirety based on abandonment of the mark under Section 45 of the Lanham Act, 15 U.S.C. § 1127.

WHEREFORE, Petitioner believes that it is being and will continue to be damaged by the registration of the mark SMARTFOCUS shown in U.S. Registration No. 2089097, and requests that the Petition for Cancellation be sustained, and that the registration be cancelled.

A filing fee has been submitted electronically. Any deficiency in the fee should be charged to Deposit Account No. 506154.

Respectfully submitted,

SMARTFOCUS UK LTD.

Dated: July 7, 2014 By:/David M. Kelly/

David M. Kelly david.kelly@kelly-ip.com Radiance W. Harris radiance.harris@kelly-ip.com Kelly IP, LLP 1330 Connecticut Ave., NW, Suite 300 Washington, D.C. 20036

Telephone: 202-808-3570 Facsimile: 202-354-5232

Attorneys for Petitioner

CERTIFICATE OF SERVICE

I certify that a true and accurate copy of the foregoing PETITION FOR

CANCELLATION was served by first class mail, postage prepaid, on July 7, 2014, upon Respondent and counsel for Respondent at the correspondence addresses of record below:

Affinitas Corporation 1015 North 98th Street Omaha, Nebraska 68114

Denise C. Mazour McGrath North 1601 Dodge St., Suite 3700 Omaha, NEBRASKA 68102

> /Larry L. White/ Larry L. White Litigation Case Manager

Exhibit A



United States Patent and Trademark Office

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz alerts | News | Help

Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Mon Jul 7 03:20:34 EDT 2014

TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT SEARCH OG BOTTOM HELP

Logout Please logout when you are done to release system resources allocated for you.

Record 1 out of 1

TSDR ASSIGN Status (Use the "Back" button of the Internet Browser to return to TESS)

SMARTFOCUS

Word Mark Goods and Services **SMARTFOCUS**

IC 009. US 021 023 026 036 038. G & S: Computer software for use in creating, distributing, personalizing and managing electronic communications relating to marketing campaigns and analyzing the results of same; downloadable software in the nature of a computer program and /or mobile application for creating, distributing, personalizing and managing marketing and social media campaigns and to help analyze the results of same

IC 035. US 100 101 102. G & S: Data processing management; customer relationship marketing intelligence management; social media marketing consultancy; research into consumer buying habits, patterns and trends; research into consumer buying motivation; media planning being media marketing and advertising strategy; marketing and advertising media analysis services; market research; advertising by mail order; compilation of direct mailing lists; compilation of mailing lists; direct mail advertising; marketing by mail; preparation of mailing lists; preparation of mailing lists for direct mail advertising services; direct marketing, database marketing; telemarketing; business statistical analysis and reporting; processing and analysis of business statistics; database management; business information services; business consulting services in the field of electronic commerce; advertising and marketing management; agency services, namely, the creation, development and dissemination of advertising and promotional materials via direct mail, newspaper, radio, television, mobile electronic devices, a global computer network and other interactive media; consulting services, namely, interception and market analysis of advertising enquiries for the businesses of others; marketing consulting; consulting and computerised database management services for the businesses of others, namely, interpretation of market data analysis and management of advertising response data; analysis of data responses and market conditions to identify customers and define prospects; preparing personalised needs analysis, metrics, reports and recommendations for advertising, marketing and retail; customer relationship management services featuring prospect and customer qualification, communication, cultivation, retention and management; business research services and information services relating to all the aforesaid

IC 038. US 100 101 104. G & S: Communication by computers; electronic transmission of data, messages and images via computer terminals, electronic tablets, mobile phones; broadcast communication transmission of messages using digital interactive services; streaming of digital content via the Internet

IC 041. US 100 101 107. G & S: Education services, namely, online and in-person seminars, conferences, and training in the field of marketing; publishing services; provision of online non-downloadable electronic publications in the field of marketing

IC 042. US 100 101. G & S: Design research in the field of graphic arts; graphic design illustrating services; industrial and commercial art design services; design, drawing and commissioned writing, all for the compilation of web pages on the Internet; computer services, namely, designing and implementing network web sites, software applications and information technology computer network systems for others; website design services; creation, editing and updating of website content; software development and maintenance of computer programs and mobile applications to track consumer usage and preferences on websites; design of publicity and advertising materials; computer services, namely, designing and implementing banner advertisements on internet sites for others; graphic design services

Standard Characters Claimed Mark

Drawing (4) STANDARD CHARACTER MARK

Code

Serial 85897968 Number

Filing Date April 8, 2013

Current 1B;44D **Basis**

Original Filing Basis

1B;44D

Owner (APPLICANT) SMARTFOCUS UK LTD CORPORATION UNITED KINGDOM LYNTON HOUSE 7-12

TAVISTOCK SQUARE LONDON UNITED KINGDOM WC1H 9LT

Assignment Recorded

ASSIGNMENT RECORDED

Attorney of

David M. Kelly Record

Priority Date March 21, 2013

Type of Mark

TRADEMARK, SERVICE MARK

Register **PRINCIPAL**

Live/Dead

LIVE Indicator

SEARCH OG TESS HOME NEW USER STRUCTURED FREE FORM **BROWSE DICT** HELP TOP

|.HOME | SITE INDEX | SEARCH | eBUSINESS | HELP | PRIVACY POLICY

Generated on: This page was generated by TSDR on 2014-07-03 14:51:30 EDT

Mark: SMARTFOCUS

SMARTFOCUS

US Serial Number: 85897968 Application Filing Date: Apr. 08, 2013

Register: Principal

Mark Type: Trademark, Service Mark

Status: An Office action suspending further action on the application has been sent (issued) to the applicant. To view all documents in this file,

click on the Trademark Document Retrieval link at the top of this page.

Status Date: Mar. 03, 2014

Mark Information

Mark Literal Elements: SMARTFOCUS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Foreign Information

Priority Claimed: Yes

Foreign Application 011677192 Foreign Application Filing Mar. 21, 2013 Number:

Date:

Foreign EUROPEAN (EU) OFFICE FOR

Application/Registration HARMONIZATION IN THE INTERNAL MARKET

Country: (OHIM)

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Computer software for use in creating, distributing, personalizing and managing electronic communications relating to marketing campaigns and analyzing the results of same; downloadable software in the nature of a computer program and /or mobile application

for creating, distributing, personalizing and managing marketing and social media campaigns and to help analyze the results of same

International Class(es): 009 - Primary Class U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE Basis: 1(b) 44(d)

> For: Data processing management; customer relationship marketing intelligence management; social media marketing consultancy; research into consumer buying habits, patterns and trends; research into consumer buying motivation; media planning being media marketing and advertising strategy; marketing and advertising media analysis services; market research; advertising by mail order; compilation of direct mailing lists; compilation of mailing lists; direct mail advertising; marketing by mail; preparation of mailing lists; preparation of mailing lists for direct mail advertising services; direct marketing, database marketing; telemarketing; business statistical analysis and reporting; processing and analysis of business statistics; database management; business information services; business consulting services in the field of electronic commerce; advertising and marketing management; agency services, namely, the creation, development and dissemination of advertising and promotional materials via direct mail, newspaper, radio, television, mobile electronic devices, a global computer network and other interactive media; consulting services, namely, interception and market analysis of advertising enquiries for the businesses of others; marketing consulting; consulting and computerised database management services for the businesses of others, namely, interpretation of market data analysis and management of advertising response data; analysis of data responses and market conditions to identify customers and define prospects; preparing personalised needs analysis, metrics, reports and recommendations for advertising, marketing and retail; customer relationship management services featuring prospect and customer qualification, communication, cultivation, retention and management; business research services and information services relating to all the aforesaid

International Class(es): 035 - Primary Class U.S Class(es): 100, 101, 102

Class Status: ACTIVE Basis: 1(b) 44(d)

For: Communication by computers; electronic transmission of data, messages and images via computer terminals, electronic tablets, mobile

phones: broadcast communication transmission of messages using digital interactive services; streaming of digital content via the

Internet

International Class(es): 038 - Primary Class U.S Class(es): 100, 101, 104

Class Status: ACTIVE Basis: 1(b) 44(d) For: Education services, namely, online and in-person seminars, conferences, and training in the field of marketing; publishing services;

provision of online non-downloadable electronic publications in the field of marketing

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(b) 44(d)

For: Design research in the field of graphic arts; graphic design illustrating services; industrial and commercial art design services; design drawing and commissioned writing, all for the compilation of web pages on the Internet; computer services, namely, designing and implementing network web sites, software applications and information technology computer network systems for others; website design services; creation, editing and updating of website content; software development and maintenance of computer programs and mobile applications to track consumer usage and preferences on websites; design of publicity and advertising materials; computer

services, namely, designing and implementing banner advertisements on internet sites for others; graphic design services

International Class(es): 042 - Primary Class

Class Status: ACTIVE

Basis: 1(b) 44(d)

Basis Information (Case Level)

Filed Use: No
Filed ITU: Yes
Filed 44D: Yes
Filed 44E: No

Currently ITU: Yes
Currently 44D: Yes
Currently 44E: No
Currently 66A: No

Amended Use: No
Amended ITU: No
Amended 44D: No
Amended 44E: No

Filed 66A: No Filed No Basis: No

Currently No Basis: No

Currently Use: No

Current Owner(s) Information

Owner Name: SMARTFOCUS UK LTD

Owner Address: LYNTON HOUSE 7-12
TAVISTOCK SOLIARE

TAVISTOCK SQUARE LONDON WC1H 9LT UNITED KINGDOM

Legal Entity Type: CORPORATION

State or Country Where UNITED KINGDOM

Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: David M. Kelly

Docket Number: 04857.0004

Attorney Primary Email docketing@finnegan.com

Address:

Attorney Email Yes Authorized:

Correspondent

Correspondent David M. Kelly Name/Address: Kelly IP. LLP

1330 Connecticut Avenue, NW, Suite 300 WASHINGTON, DISTRICT OF COLUMBIA 20036

UNITED STATES

Phone: 202.808.3571

Fax: 202.354.5232

Correspondent e-mail: docketing@kelly-ip.com david.kelly@kelly-ip.com

Correspondent e-mail Yes Authorized:

Domestic Representative

Domestic Representative David M. Kelly

Name:

Phone: 202.408.4000

Fax: 202.408.4400

Domestic Representative docketing@finnegan.com

e-mail:

Domestic Representative Yes e-mail Authorized:

Prosecution History

Date	Description	Proceeding Number
Mar. 03, 2014	NOTIFICATION OF LETTER OF SUSPENSION E-MAILED	6332
Mar. 03, 2014	LETTER OF SUSPENSION E-MAILED	6332
Mar. 03, 2014	SUSPENSION LETTER WRITTEN	72156
Feb. 03, 2014	TEAS/EMAIL CORRESPONDENCE ENTERED	88889

Feb. 03, 2014	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Feb. 03, 2014	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Nov. 20, 2013	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Aug. 02, 2013	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Aug. 02, 2013	NON-FINAL ACTION E-MAILED	6325
Aug. 02, 2013	NON-FINAL ACTION WRITTEN	72156
Jul. 22, 2013	ASSIGNED TO EXAMINER	72156
May 28, 2013	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Apr. 16, 2013	NOTICE OF PSEUDO MARK E-MAILED	
Apr. 15, 2013	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Apr. 11, 2013	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: BEVERLY, JOSETTE MICHE

Law Office Assigned: LAW OFFICE 115

File Location

Current Location: TMO LAW OFFICE 115 - EXAMINING ATTORNEY ASSIGNED

Date in Location: Mar. 03, 2014

Assignment Abstract Of Title Information

Summary

Total Assignments: 1 Applicant: Emailvision (UK) Limited

Assignment 1 of 1

Conveyance: CHANGE OF NAME

Reel/Frame: 5151/0863 Pages: 3

Date Recorded: Nov. 11, 2013

Supporting Documents: assignment-tm-5151-0863.pdf

Assignor

Name: EMAILVISION (UK) LIMITED Execution Date: Oct. 08, 2013 State or Country Where UNITED KINGDOM Legal Entity Type: CORPORATION

Organized:

Assignee

Name: SMARTFOCUS UK LTD

Legal Entity Type: CORPORATION State or Country Where UNITED KINGDOM

Organized:

Address: LYNTON HOUSE 7-12
TAVISTOCK SQUARE
LONDON, UNITED KINGDOM WC1H 9LT

Correspondent

Correspondent Name: DAVID M.KELLY

Correspondent Address: 1330 CONNECTICUT AVENUE, NW, SUITE 300

WASHINGTON, DC 20036

Domestic Representative

Domestic Representative DAVID M. KELLY

Name:

Domestic Representative 1330 CONNECTICUT AVENUE, NW, SUITE 300

Address: WASHINGTON, DC 20036